SGSM
SYDNEY GRADUATE SCHOOL
OF MANAGEMENT

Financial Planning

Graduate Certificate in Financial Planning

Apply Direct Code	2795
UAC Code	950147
Mode	External
Study Mode	Six months full-time or one year part-time
Location	Online
Intakes	January, April, June, September

The Graduate Certificate in Financial Planning is a fully online course designed to introduce you to postgraduate study in the financial planning sector with the option to continue into a fully accredited (FPEC) Graduate Diploma in Financial Planning and a Master of Financial Planning.

You will learn the nature and process of financial planning and financial planning participants within the Australian economic, legal, social, cultural and ethical environment.

The key influences affecting the client relationship are identified along with a focus on the use of verbal and non-verbal communication skills to develop client rapport. This involves evaluating data collection and risk tolerance tools and identifying the impact of the regulatory and economic environment on stakeholders.

Other topics involve budgetary analysis, term structure, investment decisions, ethics, client goal-setting and statement of advice compliance. This provides you with the foundational knowledge essential to develop and apply comprehensive knowledge across all financial planning areas.

You will also learn how to evaluate academic literature in various business contexts so you can communicate effectively as an individual or within groups and apply ethical practices in different business contexts.

Concepts of law and its impact on business and the basic concepts of risk and return in relation to financial planning are also covered.

THE CHALLENGE ASSESSMENT

For advisors with existing knowledge and experience you can fast-track your path to the full qualifications you need without doing the course work of the unit. Challenge Assessments are available for the following six units: Communication and Ethics in Financial Planning, Principles of Financial Planning, Investment Planning, Superannuation, Planning for Retirement and Funds Management & Portfolio Selection.

You are able to undertake two Challenge Assessment units in the Graduate Certificate, two in the Graduate Diploma and two in the Masters, meaning you can complete your Graduate Diploma in only two quarters.

WSU charges no fees to formally assess your eligibility for the Challenge units.

For more information about our course, visit: **westernsydney.edu.au/future/study/courses/postgraduate/graduate-certificate-in-financial-planning.html**

ADMISSION

Applicants must have successfully completed an undergraduate degree, or higher, in any discipline OR have an associate degree, or higher, in a business discipline; **OR** have an advanced diploma, or higher, in a business discipline AND have a minimum of five years general work experience in a related field; **OR** have a minimum of three years full-time equivalent managerial/professional work experience in a related field; **OR** submitted other evidence of general and professional qualifications that demonstrates potential to pursue graduate studies.

Applicants seeking admission on the basis of work experience must support their application with a Statement of Service for all work experience listed on the application.

COURSE STRUCTURE

Qualification for this award requires the successful completion of 40 credit points listed in the recommended sequence below.

FULL-TIME
YEAR 1
QUARTER 1 SESSION

201008	Communication and Ethics in Financial Planning
200866	Principles of Financial Planning

QUARTER 2 SESSION

200432	Commercial Law
Choose one of	
200868	Investment Planning
200869	Principles of Taxation

PART-TIME
YEAR 1
QUARTER 1 SESSION

201008	Communication and Ethics in Financial Planning

QUARTER 2 SESSION

200432	Commercial Law

QUARTER 3 SESSION

200866	Principles of Financial Planning

QUARTER 4 SESSION

200868	Investment Planning
OR	
200869	Principles of Taxation

ADVANCED STANDING

Upon successful completion of the Graduate Certificate, you can then go on to complete a Graduate Diploma or Master of Financial Planning and receive a full credit for the 4 units of the Grad Certificate.

The maximum credit you will be permitted (including Challenge assessments) is for 50% of the units in the course.

In the Graduate Certificate you can gain credit for 2 units from the CFP program (200866 Principles of Financial Planning, or 201008 Communication and Ethics for Financial Planners, or 200868 Investment Planning).

Students who have completed the Association of Financial Advisers' FChFP AFA 4 will receive exemptions for unit 201008 Communication and Ethics for Financial Planners.

Master of Financial Planning

Apply Direct Code	2793
UAC Code	950146
Mode	External
Study Mode	Domestic 1.5F/3P
Location	Online
Intakes	January, April, June, September

This fully online course is designed to provide the educational basis to enable students to increase competence as professional financial advisers and enable them to gain an advanced knowledge and understanding of the financial planning industry.

CRAIG KEARY, HEAD OF RETAIL AND CORPORATE BUSINESS FOR AMP CAPITAL

'I like to learn and I'm always studying, and this course definitely added to and enhanced my knowledge base,' he says. 'I was not only able to use that in my day-to-day work, but it also left me curious enough to undertake study at a far higher level.'

THE CHALLENGE ASSESSMENT

For advisors with existing knowledge and experience you can fast-track your path to the full qualifications you need without doing the course work of the unit. Challenge Assessments are available for the following six units: Communication and Ethics in Financial Planning, Principles of Financial Planning, Investment Planning, Superannuation, Planning for Retirement and Funds Management & Portfolio Selection.

You are able to undertake two Challenge Assessment units in the Graduate Certificate, two in the Graduate Diploma and two in the Masters, meaning you can complete your Graduate Diploma in only two quarters.

WSU charges no fees to formally assess your eligibility for the Challenge units.

For more information about our course, visit: **westernsydney.edu.au/future/study/courses/postgraduate/master-of-financial-planning.html**

ACCREDITATION

This course is accredited by the Financial Planning Education Council (FPEC) and the Financial Planning Association (FPA). The course satisfies eligibility requirements for Financial Planner AFP® membership and educational requirements for entry into the CFP® Certification Program of FPA (students will also receive exemption for CFP 2, 3 and 4 therefore must complete CFP 1 to be eligible to sit the CFP Certification Assessment).

The Master of Financial Planning gives you the specialised qualifications you need without having to pause your career. This degree has been designed to meet the proposed curriculum requirements of the Financial Adviser Standards and Ethics Authority (FASEA). Offered online, the degree is designed to give you advanced knowledge of financial planning and increase your competencies in financial advice strategy and theory, financial markets and products, risk management, tax law, compliance and ethics.

This course also meets the RG146 generic knowledge requirements, specialist knowledge requirements and skills requirements as they currently stand. Details re the coverage of RG146 requirements are available via the handbook for this course. In addition, by completing the Master of Financial Planning, students satisfy the tertiary qualifications requirements to register as a Tax (Financial) Adviser with the TPB. Details regarding these requirements are available via the handbook for this course.

ADMISSION

Applicants must have successfully completed an undergraduate degree, or higher, in a business discipline; **OR**

Successfully completed an undergraduate degree, or higher, in any discipline AND have a minimum of two (2) years full-time equivalent managerial/professional work experience in a related field; **OR**

Successfully completed an undergraduate degree, or higher, in any discipline AND have a minimum of five (5) years general work experience in a related field.

COURSE STRUCTURE

Qualification for this award requires the successful completion of 120 credit points which includes 12 core units listed below.

FULL-TIME
YEAR 1
QUARTER 1 SESSION

201008	Communication and Ethics in Financial Planning
200866	Principles of Financial Planning

QUARTER 2 SESSION

200432	Commercial Law
200868	Investment Planning

QUARTER 3 SESSION

200867	Superannuation
200869	Principles of Taxation

QUARTER 4 SESSION

200870	Insurance and Risk Management
200871	Planning for Retirement

YEAR 2
QUARTER 1 SESSION

51168	Funds Management and Portfolio Selection
200959	Financial Planning Research Project

QUARTER 2 SESSION

200872	Contemporary Issues in Taxation
200960	Statement of Advice Research Project

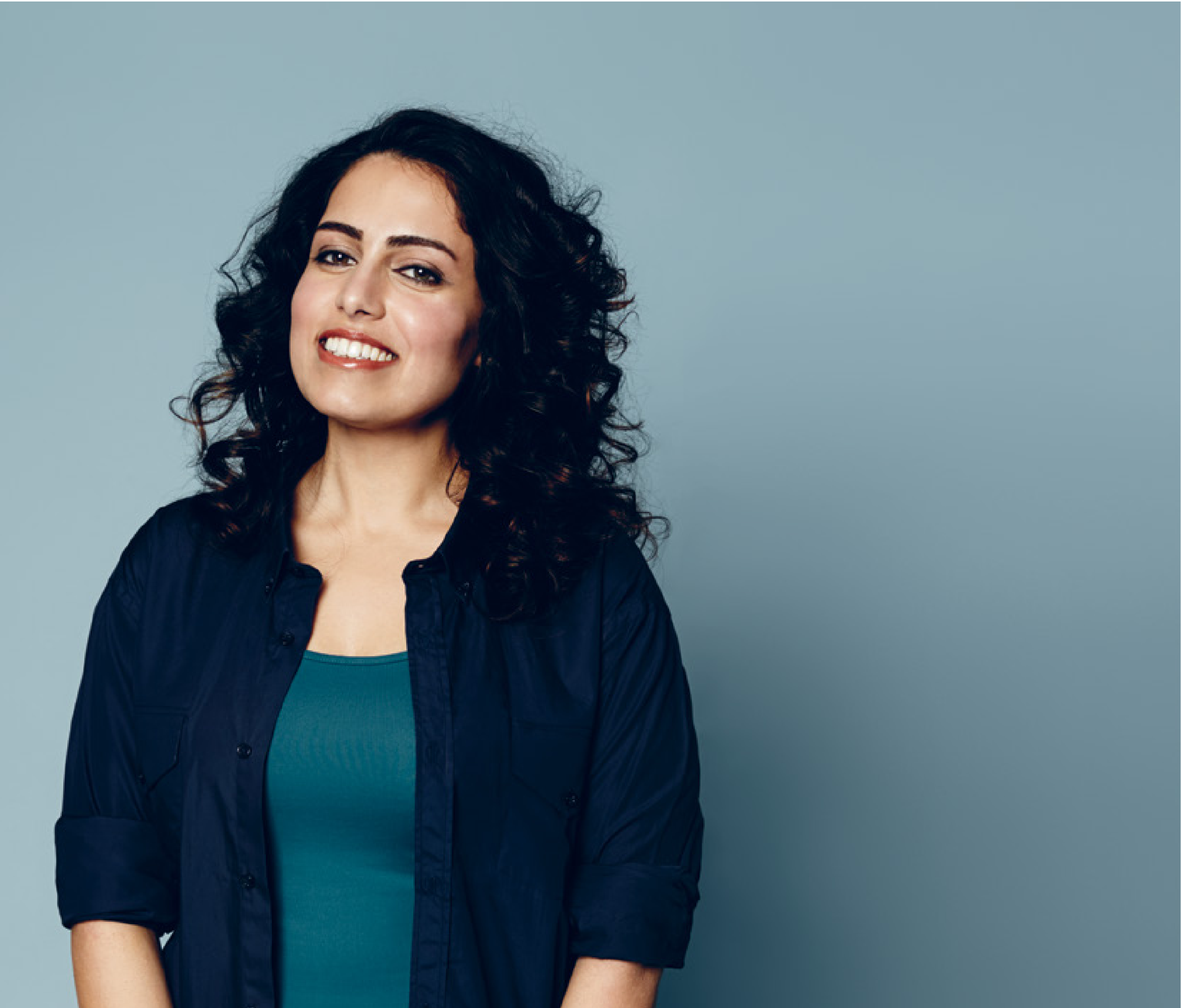
ADVANCED STANDING

The maximum credit you will be permitted (including Challenge assessments) is for 50% of the units in the course.

You can gain credit for 3 units from the CFP program (200866 Principles of Financial Planning, 201008 Communication and Ethics for Financial Planners).

Students who have completed the Association of Financial Advisers' FChFP AFA 3 and 4 will receive credit for units 201008 Communication and Ethics for Financial Planners and 200871 Planning for Retirement.

Students can also choose to exit early by undertaking 8 of the Master of Financial Planning units and exit with a Graduate Diploma in Financial Planning.



HOW TO APPLY

Local Students
All Australian citizens, New Zealand citizens and permanent residents can apply through the Universities Admissions Centre (UAC). Apply online at **uac.edu.au** or direct to Western Sydney via **https://westernsydney.uac.edu.au/ws** Direct applications do not have an application fee.

International Students
International students apply direct to Western Sydney University at **westernsydney.edu.au/international/apply**

For information about studying at Western Sydney University, including courses, tuition fees, English requirements, intakes, assessment methods, accommodation options and living in Australia, visit **westernsydney.edu.au/international**

CONTACT US

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